

ORIGINAL RESEARCH

Influence of Disadvantaged Socioeconomic and Demographic Status on Overall Survival in Patients with Kaposi Sarcoma

Michelle S. Swedek, BS¹, Kamron K. Zand, MS¹, Peter T. Silberstein, MD¹, Victoria A. Vardell, MD²

¹ Creighton University School of Medicine, Omaha, NE, USA

² Department of Internal Medicine, University of Utah, Salt Lake City, UT, USA

ABSTRACT

Background: Kaposi sarcoma (KS) is a cutaneous and mucous membrane tumor caused by human/Kaposi sarcoma herpesvirus 8 (HHV-8), typically seen in immunocompromised patients, including those with HIV/AIDS. Patients from traditionally underserved and underrepresented (URM) populations have disparate survival outcomes across malignancies, however the effects of socioeconomic and demographic factors on survival have not been described on a large scale in KS.

Methods: KS patients diagnosed from 2004-2017 were identified in the National Cancer Database (NCDB). Overall survival (OS) was analyzed using Kaplan Meier and adjusted Cox regression methods, and repeated in a propensity score matched cohort, where White patients were matched to Black patients 1:1 for demographic factors and comorbidities.

Results: For the 4,034 identified patients, advanced age, Black race, HIV-positive status, and Charlson-Deyo score ≥ 1 were independently associated with decreased OS. Survival benefit was seen with Spanish/Hispanic ethnicity, private insurance, residence in areas of high educational attainment, and treatment at academic centers. Black patients had median survival of 99 months (95% confidence interval [CI] 73-124 months) compared to White patients (140 months, 95% CI 122-158 months) ($p < 0.001$). Following propensity score matching, Black patients continued to have poorer OS compared to White patients (119 months versus 136 months, $p = 0.045$).

Conclusion: We describe the impact of socioeconomic factors and race on survival in KS, finding significantly reduced survival in Black patients, which persisted after controlling for covariates. These results highlight the need for directed efforts promoting equitable outcomes for underrepresented minorities.

INTRODUCTION

Kaposi sarcoma (KS) is a soft tissue endothelial cell tumor caused by human herpesvirus-8/Kaposi's Sarcoma-associated herpesvirus (HHV-8). KS occurs in a variety of patient populations and has been

differentiated into four categories based on etiology: (1) classic KS, (2) AIDS-related KS, (3) African endemic KS, and (4) iatrogenic KS.¹ Clinical features common to all subtypes of KS include purple, papular, non-blanching skin lesions of varying size. Less commonly, extracutaneous involvement of mucous membranes, gastrointestinal tract, and lymph

September 2023 Volume 7 Issue 5

nodes may occur.² The classic form of KS typically arises in older men of Mediterranean and Eastern European descent on the lower extremities, as well as an endemic form predominantly in sub-Saharan Africa primarily causing lymph node involvement in pediatric patients.³ In iatrogenic and HIV patients, it may present with skin, oral (palatal and gingival), and less commonly, visceral involvement.⁴ Typically, iatrogenic and AIDS-related KS respond well to immune reconstitution and anti-retroviral therapy respectively, thus many patients present with breakthrough localized skin involvement.⁵ Isolated skin lesions may be treated with excision, liquid nitrogen, or vincristine injection, while cytotoxic chemotherapy is the standard of treatment for systemic disease.^{5,6}

KS is an AIDS-defining illness and the second-most common neoplasm associated with AIDS.⁷ Among people with HIV (PWH) living in the United States from 2000-2015, KS rates were elevated 521-fold compared to the general population and have declined at an annual percentage change of -6% .⁸ KS most often occurs in PWH with uncontrolled viral replication and decreased CD4 T cell levels.⁹ Since the advent of highly active antiretroviral therapy (HAART), incidence of KS and other AIDS-related malignancies such as non-Hodgkin's Lymphoma have declined substantially while non-AIDS related malignancies have increased in patients with HIV.¹⁰ However, new and recurrent forms of KS despite adequate viral suppression have been documented as a novel issue in PWH.⁹

Previous studies by *Royse et al.* examining the Surveillance, Epidemiology, and End-Results (SEER) database demonstrated that in men younger than 55 years, the annual percent change (APC) for KS incidence significantly decreased for white men between 2001 and 2013 (APC -4.52 , $p=0.02$),

whereas the APC for African American (AA) men demonstrated a non-significant decrease from 2000–2013 (APC -1.84 , $p=0.09$).¹¹ Among AA men in the South, however, APC has significantly increased between 2000 and 2013 ($+3.0$, $p=0.03$).¹¹ Additionally, compared with white men diagnosed with KS during the same time period, AA men were also more likely to die from all causes and KS cancer-specific causes (aHR 1.52, 95% CI 1.34–1.72, aHR 1.49, 95% CI 1.30–1.72 respectively).¹¹ Therefore, despite recent advances in the treatment of PWH using HAART, KS continues to be one of the most common malignancies that occurs in PWH even in the presence of adequate viral suppression. Moreover, there appear to be geographic and racial disparities in both incidence and survival of KS in the US that require further analysis.

In an effort to better understand the current outcomes of KS patients on a national scale, we examined the National Cancer Database (NCDB) to study the epidemiology and demographics of KS patients, and how these factors impact overall survival (OS) on a national scale. As a large oncology database that compiles data from over 1,400 cancer programs and including about 75% of newly diagnosed cancers in the United States, it is the largest database of these cancers currently available.¹²

METHODS

Patient Selection

Patients diagnosed with KS (identified by ICD-O-3 histology code 9140) from 2004-2017 were collected from the NCDB. This included demographic, socioeconomic, and survival data for patients aged 18 and up.

After selecting for variables of interest (**Figure 1**), a total of 4,034 patients met study inclusion criteria. Data from the NCDB is deidentified, and as such this project was determined to be institutional review board (IRB) exempt given that it is not human subjects research. Analyses were performed using IBM SPSS Statistics for Mac, Version 27 (Armonk, NY: IBM Corp), with propensity score matching utilizing the PSM 2.0.1 and FUZZY 2.0.1 extensions with Python 3.8 module in SPSS.

Patient Characteristics

Baseline demographic, socioeconomic, and survival data was determined using NCDB codes for the entire cohort. These features were also compared between racial identities and ethnicity. Race is patient-identified and was reported as White, Black, Asian and Pacific Islander (AAPI), and other. The other group included smaller racial groups and those who did not identify according to the available categories. Spanish/Hispanic ethnicity was also patient-identified. Patients who were identified to be Spanish/Hispanic based on surname alone were excluded. The Charlson-Deyo comorbidity score was used to define the presence of comorbidities based on International Classification of Disease (ICD) codes for common medical conditions, and included values of 0, 1, 2, and ≥ 3 . Higher scores are typically associated with greater mortality and morbidity.¹³ The 2016 American Community Survey Data was used to determine income and education level quartiles of patient zip code of residence. Patient insurance status was defined as uninsured, privately insured, or government insured. Facility types were defined as nonacademic and academic facilities. Categorical variables were first compared by Chi-square and Fischer's exact test for significance. Age at diagnosis was compared between groups using one-way ANOVA with

Dunnett's T3 post-hoc comparison. Statistical significance was defined as p value < 0.05 .

Survival Analysis

Mean and median overall survival (OS) in months from diagnosis were calculated using Kaplan Meier analysis, and significance was evaluated using pairwise log-rank tests. A multivariate Cox proportional hazards model was used to determine the risk of death based on demographic and socioeconomic variables of interest.

Propensity Score Matched Analysis

Using a multivariate logistic regression model that included age, sex, ethnicity, median education and income level of zip code, insurance status, facility location, facility type, HIV status, and Charlson-Deyo comorbidity score, a propensity score was determined by the conditional probability of a patient identifying as Black. These factors were chosen by their significant variation between race groups and theoretical impact on survival. White patients were then matched 1:1 to Black patients using this propensity score, with preference for exact matches and a match tolerance of 0.01. Following matching, Kaplan Meier survival analysis for median overall survival was repeated.

RESULTS

Patient Characteristics

Of the 4,034 KS patients identified, 69.5% were White (n=2,804), 26.1% were Black (n=1,054), and 2.6% were AAPI (n=104). 1.8% of patients had race categorized as other (n=72). 17.2% of patients identified as Spanish/Hispanic ethnicity (n=694). Additional demographics by patient race and

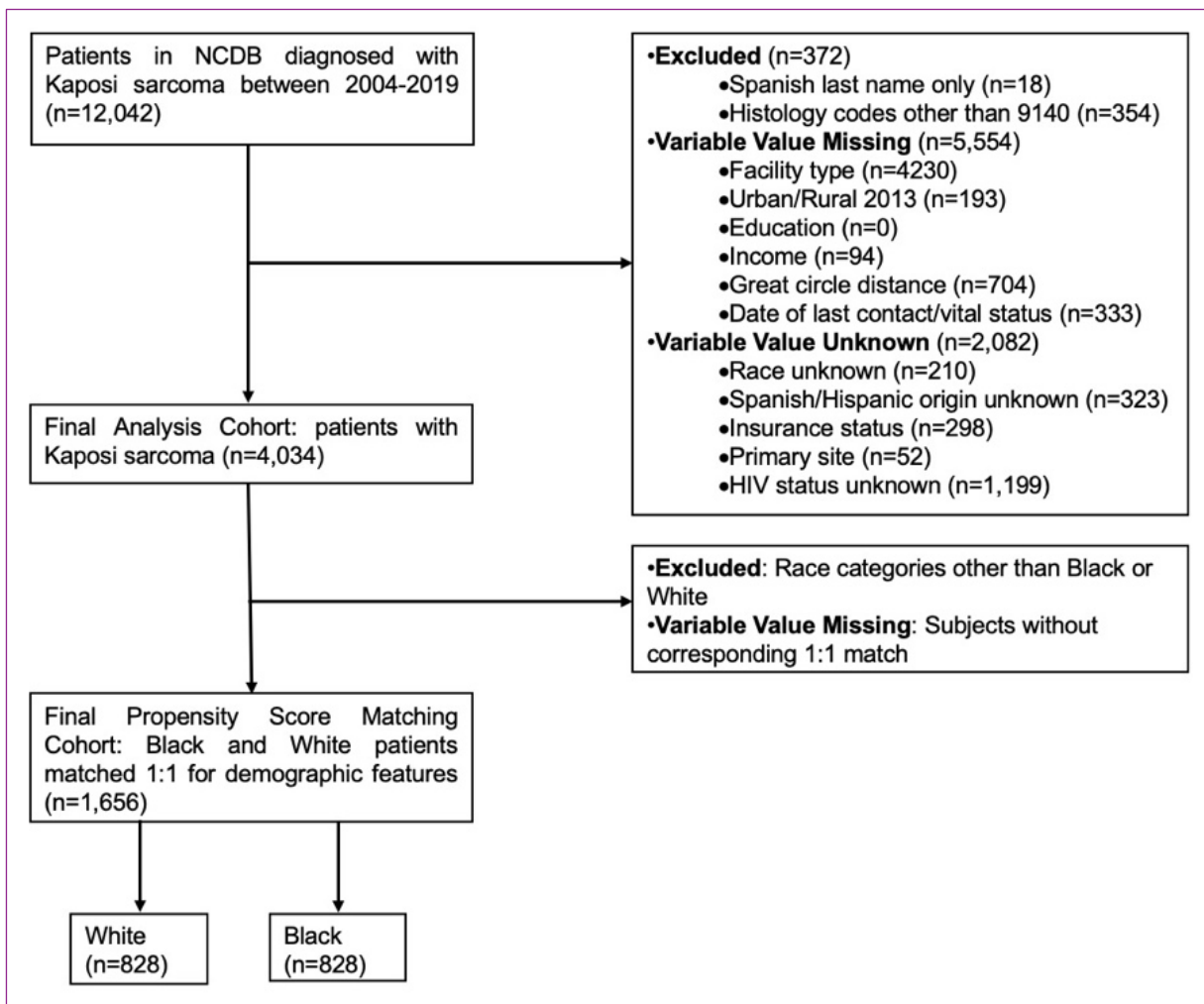


Figure 1. Selection of study patient population and exclusion criteria

ethnicity are described in **Tables 1 and 2**, respectively.

Compared to White patients, Black patients were younger at diagnosis (mean age 58 versus 51 years old, $p < 0.001$), with 75.3% of Black patients diagnosed before age 55 compared to 53.5% of White patients ($p < 0.001$). Other racial and ethnic groups presented at a similar age compared to White patients (**Tables 1 and 2**). Black patients (37.7%) were more likely to have Charlson-Deyo scores of 3 and above compared to White (29.5%) and AAPI patients (34.6%) ($p = 0.001$). 82.7% of Black patients were HIV-positive compared to 62.7% of White and 61.5% of AAPI patients ($p < 0.001$). Compared to White patients (9.2%), 12.8% of Black patients and 13.5% of AAPI patients were uninsured ($p < 0.001$). Hispanic patients were more likely to be uninsured (16.7%) compared to non-Hispanic patients (9.1%) ($p < 0.001$). Black and Hispanic patients resided in lower income areas, with 39.5% and 31.0%, respectively, living in zip codes with an average income below the 25th percentile, compared to 18.7% of White and 11.5% of AAPI patients ($p < 0.001$). A greater percentage of Black (37.9%) and Hispanic (57.8%) patients resided in zip codes where 17.6% or more of residents did not attain a high school degree, compared to 27.4% of White patients ($p < 0.001$).

Survival Analysis

OS for all race groups was analyzed using Kaplan-Meier curves. (**Figure 2**) The median OS for all KS patients was 133 months (95% confidence interval [CI] 119-148 months). Black patients had a shorter median OS of 99 months (95% CI 73-124 months) compared to White patients with a median OS of 140 months (95% CI 122-158 months) ($p < 0.001$). All other minority groups trended towards

improved OS compared to White patients. The AAPI, Other, and Hispanic cohorts did not reach median survival during the follow-up period ($p = 0.584$, 0.473, and 0.001, respectively).

To assess for the independent contribution of socioeconomic and demographic variables, multivariate Cox regression was performed for age, sex, race, ethnicity, Charlson-Deyo score, education, income, insurance, location, facility type, and HIV status (**Table 3**). Age greater than 70 years old was associated with reduced OS compared to patients aged 40-54 years old (hazard ratio [HR] 2.06, 95% CI 1.67-2.55, $p < 0.001$). Black race was independently associated with reduced OS compared to White race (HR 1.31, 95% CI 1.16-1.47, $p < 0.001$). No statistically significant difference was seen in survival for other racial groups. Hispanic ethnicity was associated with improved survival compared to non-Hispanic ethnicity (HR 0.80, 95% CI 0.69-0.93, $p = 0.003$). Residence in areas with high educational attainment was associated with improved survival, with a survival benefit seen for patients residing in areas with no greater than 10.8% of residents not graduating high school (HR 0.83, 95% CI 0.71-0.98, $p = 0.026$). Having private insurance was associated with improved survival (HR 0.74, 95% CI 0.62-0.89, $p = 0.002$); however, no statistically significant difference was seen in survival with government insurance compared to having no insurance. Improved survival was associated with academic centers (HR 0.76, 95% CI 0.68-0.83, $p < 0.001$). Positive HIV status portended a poorer prognosis (HR 1.93, 95% CI 1.61-2.32, $p < 0.001$), as did any Charlson-Deyo score greater than 0 (HR 1.49, 95% CI 1.26-1.76, $p < 0.001$). Sex, income, and facility location did not independently have any statistically significant effect on survival.

Table 1. Demographic and socioeconomic features of KS by race.

		Total		Race								P value
				White		Black		AAPI		Other		
		N	%	N	%	N	%	N	%	N	%	
		4034	-	2804	69.50%	1054	26.10%	104	2.60%	72	1.80%	-
Age	40-54 years old	2395	59.4%	1501	53.5%	794	75.3%	60	57.7%	40	55.6%	<0.001
	55-69 years old	795	19.7%	584	20.8%	177	16.8%	21	20.2%	13	18.1%	
	70-84 years old	581	14.4%	477	17.0%	70	6.6%	19	18.3%	15	20.8%	
	≥85 years old	263	6.5%	242	8.6%	13	1.2%	4	3.8%	4	5.6%	
Sex	Male	3549	88.0%	2471	88.1%	917	87.0%	97	93.3%	64	88.9%	0.282
	Female	485	12.0%	333	11.9%	137	13.0%	7	6.7%	8	11.1%	
Ethnicity	Not Spanish/Hispanic	3340	82.8%	2170	77.4%	1026	97.3%	101	97.1%	43	59.7%	<0.001
	Spanish/Hispanic	694	17.2%	634	22.6%	28	2.7%	3	2.9%	29	40.3%	
% of Patient Zip Code without High School Diploma	≥17.6%	1221	30.3%	768	27.4%	399	37.9%	32	30.8%	22	30.6%	<0.001
	10.9% - 17.5%	1033	25.6%	649	23.1%	342	32.4%	24	23.1%	18	25.0%	
	6.3% - 10.8%	932	23.1%	688	24.5%	208	19.7%	20	19.2%	16	22.2%	
	≤6.3%	848	21.0%	699	24.9%	105	10.0%	28	26.9%	16	22.2%	
Median Income of Patient Zip Code	<\$40,227	970	24.0%	524	18.7%	416	39.5%	12	11.5%	18	25.0%	<0.001
	\$40,227 - \$50,353	822	20.4%	564	20.1%	232	22.0%	17	16.3%	9	12.5%	
	\$50,354 - \$63,332	833	20.6%	632	22.5%	159	15.1%	26	25.0%	16	22.2%	
	>\$63,333	1409	34.9%	1084	38.7%	247	23.4%	49	47.1%	29	40.3%	
Insurance	Not Insured	420	10.4%	259	9.2%	135	12.8%	14	13.5%	12	16.7%	<0.001
	Private Insurance	1505	37.3%	1089	38.8%	347	32.9%	40	38.5%	29	40.3%	
	Government Insurance	2109	52.3%	1456	51.9%	572	54.3%	50	48.1%	31	43.1%	
Facility Type	Nonacademic Centers	1709	42.4%	1251	44.6%	392	37.2%	42	40.4%	24	33.3%	<0.001
	Academic Centers	2325	57.6%	1553	55.4%	662	62.8%	62	59.6%	48	66.7%	
Location	East Coast	2008	49.8%	1277	45.5%	659	62.5%	34	32.7%	38	52.8%	<0.001
	Central	1101	27.3%	766	27.3%	301	28.6%	12	11.5%	22	30.6%	
	West Coast	925	22.9%	761	27.1%	94	8.9%	58	55.8%	12	16.7%	
Charlson-Deyo Score	0	2385	59.1%	1707	60.9%	578	54.8%	55	52.9%	45	62.5%	0.001
	1	297	7.4%	218	7.8%	63	6.0%	11	10.6%	5	6.9%	
	2	71	1.8%	51	1.8%	16	1.5%	2	1.9%	2	2.8%	
	≥3	1281	31.8%	828	29.5%	397	37.7%	36	34.6%	20	27.8%	
HIV Status	HIV-negative	1303	32.3%	1045	37.3%	182	17.3%	40	38.5%	36	50.0%	<0.001
	HIV-positive	2731	67.7%	1759	62.7%	872	82.7%	64	61.5%	36	50.0%	

Table 2. Demographic and socioeconomic features of KS by ethnicity.

		Total		Ethnicity				P value
				Not Spanish/Hispanic		Spanish/Hispanic		
		N	%	N	%	N	%	
		4034	-	3340	82.8%	694	17.2%	-
Age	40-54 years old	2395	59.4%	1973	59.1%	422	60.8%	0.452
	55-69 years old	795	19.7%	657	19.7%	138	19.9%	
	70-84 years old	581	14.4%	483	14.5%	98	14.1%	
	≥85 years old	263	6.5%	227	6.8%	36	5.2%	
Sex	Male	3549	88.0%	2930	87.7%	619	89.2%	0.279
	Female	485	12.0%	410	12.3%	75	10.8%	
% of Patient Zip Code without High School Diploma	≥17.6%	1221	30.3%	820	24.6%	401	57.8%	<0.001
	10.9% - 17.5%	1033	25.6%	903	27.0%	130	18.7%	
	6.3% - 10.8%	932	23.1%	838	25.1%	94	13.5%	
	≤6.3%	848	21.0%	779	23.3%	69	9.9%	
Median Income of Patient Zip Code	<\$40,227	970	24.0%	755	22.6%	215	31.0%	<0.001
	\$40,227 - \$50,353	822	20.4%	662	19.8%	160	23.1%	
	\$50,354 - \$63,332	833	20.6%	678	20.3%	155	22.3%	
	>\$63,333	1409	34.9%	1245	37.3%	164	23.6%	
Insurance	Not Insured	420	10.4%	304	9.1%	116	16.7%	<0.001
	Private Insurance	1505	37.3%	1312	39.3%	193	27.8%	
	Government Insurance	2109	52.3%	1724	51.6%	385	55.5%	
Facility Type	Nonacademic Centers	1709	42.4%	1463	43.8%	246	35.4%	<0.001
	Academic Centers	2325	57.6%	1877	56.2%	448	64.6%	
Location	East Coast	2008	49.8%	1702	51.0%	306	44.1%	<0.001
	Central	1101	27.3%	934	28.0%	167	24.1%	
	West Coast	925	22.9%	704	21.1%	221	31.8%	
Charlson-Deyo Score	0	2385	59.1%	1987	59.5%	398	57.3%	0.146
	1	297	7.4%	242	7.2%	55	7.9%	
	2	71	1.8%	52	1.6%	19	2.7%	
	≥3	1281	31.8%	1059	31.7%	222	32.0%	
HIV Status	HIV-negative	1303	32.3%	1078	32.3%	225	32.4%	0.941
	HIV-positive	2731	67.7%	2262	67.7%	469	67.6%	

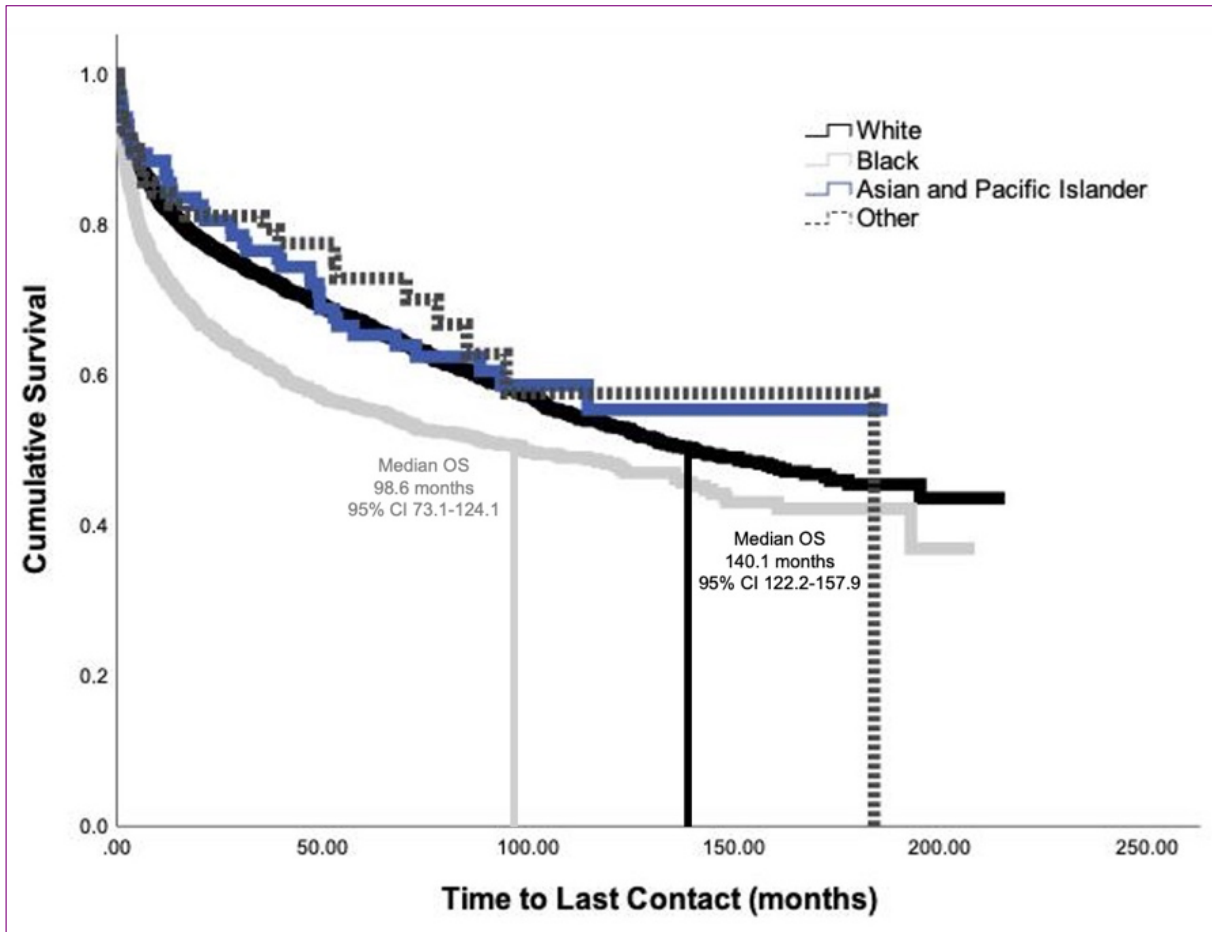


Figure 2. Kaplan Meier curves comparing survival between race groups. Median survival for White and Black patients are noted.

Propensity Score Matching

After 1:1 propensity score matching of White to Black patients for key demographic covariates, 1,656 patients were identified. Within this matched cohort, Kaplan-Meier analysis was run again to compare OS between White and Black patients. (**Figure 3**) In the propensity score matched cohort, White patients had significantly improved median OS of 136 months (95% CI 110-163 months) compared to Black patients with median OS of 119 months (95% CI 89-149 months) ($p=0.045$).

DISCUSSION

To our knowledge, our study is the first to describe the impact of key demographic and socioeconomic factors on survival in KS on a large national scale. Similar to prior studies, we found that Black patients were diagnosed at younger ages and had poorer survival outcomes compared to White patients, and that patients living with HIV have reduced OS.^{14,15,16} On a national level, it is well-established that URM individuals are more likely to have lower socioeconomic status, experience poorer healthcare outcomes regardless of SES, and be disproportionately affected by HIV.^{17,18} Our study builds on these past findings with the addition of multivariate statistical analysis, comprehensive socioeconomic factors, and propensity score matching to help determine what features have the most significant impact on these outcomes.

We found significant variation in population characteristics between KS patients of White and URM background. Black patients were more likely to be underinsured and reside in area of significantly lower education and income levels. Moreover, they were more likely to be affected by multiple comorbidities

and be diagnosed with HIV. On multivariate analysis, Black race was independently associated with reduced OS, which persisted when controlled for covariates including age, sex, ethnicity, median education and income level of zip code, insurance status, facility location, facility type, HIV status, and Charlson-Deyo comorbidity score. This may be due to comorbidities that are not accounted for by the Charlson-Deyo Comorbidity Index, or additional economic and social factors not represented in the available codes, including structural racism and other surrogate markers of healthcare access. Another reason for lagging survival in Black patients living with HIV could be disparities in access to HAART. Furthermore, prior research has shown that racial and ethnic minorities, including Black patients, have decreased ART adherence, which could be attributed to historical discrimination and racism experienced by these patients causing mistrust of the medical system and increased treatment-associated psychological distress.^{19,20} Multivariate analysis indicated that in addition to Black race, the features of advanced age, HIV-positive status, and Charlson-Deyo score greater than 0 were each independently associated with decreased survival, while Spanish/Hispanic ethnicity, private insurance, residence in areas of high educational attainment, and treatment at academic centers were associated with improved survival. Past work has indicated that comorbidities such as diabetes may promote susceptibility to developing KS, which may explain the detrimental effect of higher Charlson-Deyo score on KS survival.²¹ Several studies have noted that despite poorer socioeconomic factors, including residence in areas with reduced educational attainment and income, improved OS has been seen in Spanish/Hispanic patients compared to non-Spanish/Hispanic patients

Table 3. Cox regression for demographic and socioeconomic factors of all KS patients.

		Hazard Ratio (95% CI)	P-value
Sex	Male	Reference	
	Female	1.07 (0.93-1.24)	0.352
Age	40-54 years old	Reference	
	55-69 years old	1.06 (0.92-1.23)	0.425
	70-84 years old	2.06 (1.67-2.55)	<0.001
	≥85 years old	3.72 (2.92-4.74)	<0.001
Race	White	Reference	
	Black	1.31 (1.16-1.47)	<0.001
	Asian and Pacific Islander	0.92 (0.67-1.28)	0.626
	Other	0.98 (0.64-1.49)	0.907
Ethnicity	Not Spanish/Hispanic	Reference	
	Spanish/Hispanic	0.80 (0.69-0.93)	0.003
% of Patient Zip Code without High School Diploma	≥17.6%	Reference	
	10.9% - 17.5%	0.90 (0.79-1.04)	0.152
	6.3% - 10.8%	0.83 (0.71-0.98)	0.026
	≤6.3%	0.77 (0.64-0.93)	0.007
Median Income of Patient Zip Code	<\$40,227	Reference	
	40,227 - \$50,353	0.87 (0.75-1.01)	0.065
	\$50,354 - \$63,332	0.98 (0.83-1.15)	0.793
	>\$63,333	0.90 (0.76-1.08)	0.252
Insurance	Not Insured	Reference	
	Private Insurance	0.74 (0.62-0.89)	0.002
	Government Insurance	1.18 (0.99-1.41)	0.061
Location	East Coast	Reference	
	Central	1.08 (0.96-1.21)	0.208
	West Coast	1.08 (0.95-1.23)	0.225
HIV Status	HIV-negative	Reference	
	HIV-positive	1.93 (1.61-2.32)	<0.001
Charlson-Deyo Score	0	Reference	
	1	1.49 (1.26-1.76)	<0.001
	2	1.68 (1.23-2.31)	0.001
	≥3	1.32 (1.18-1.48)	<0.001
Facility Type	Non-academic Centers	Reference	
	Academic Centers	0.76 (0.68-0.83)	<0.001

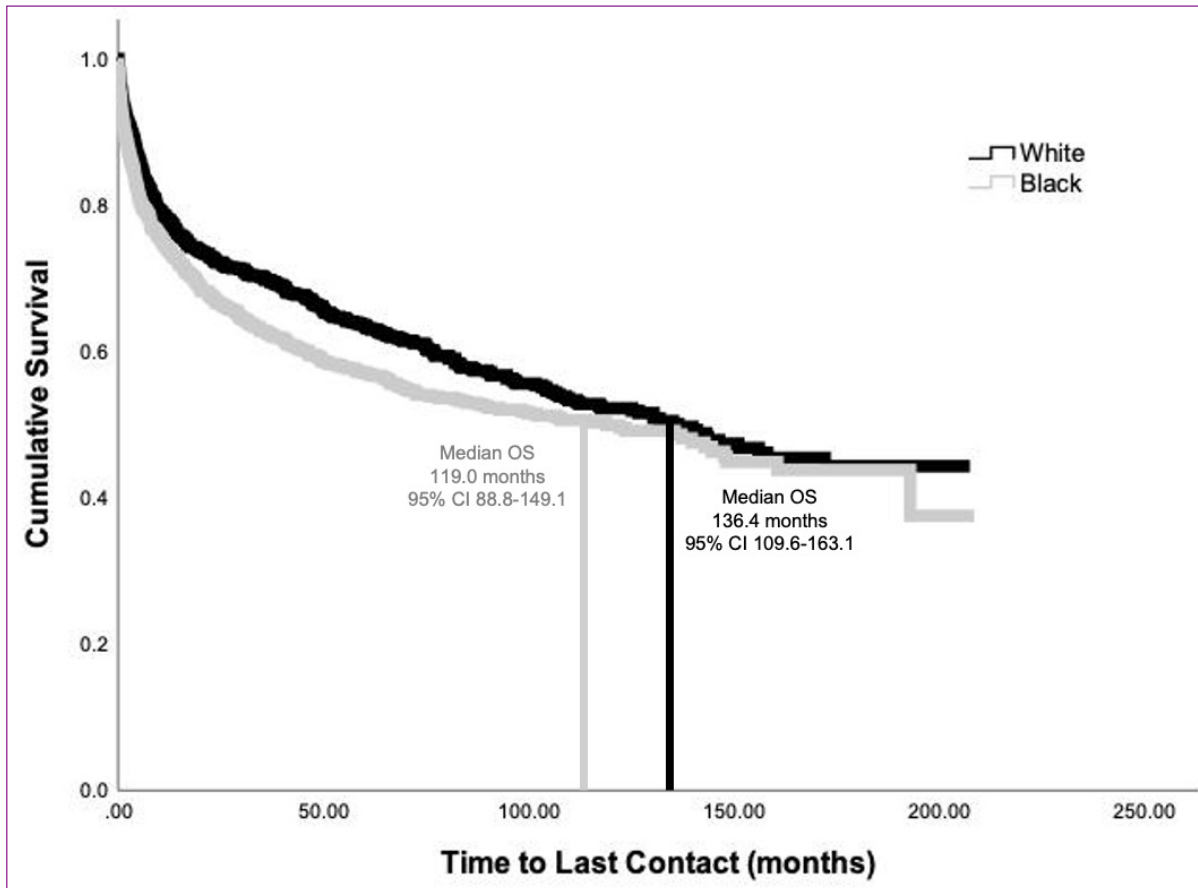


Figure 3. Kaplan Meier curves comparing median survival between Black and White patients in 1:1 propensity score matching model.

in several malignancies, although the reason for this is not well-understood.²²

Private insurance is known to confer a survival benefit across cancers, which our study replicated; however, our study revealed no survival benefit of having government insurance compared to having no insurance at all.²³ Prior studies have shown that patients who are uninsured or have Medicaid insurance experienced worse survival across a variety of malignancies.²⁴ Greater study is needed to understand why survival continues to lag for cancer patients using government insurance. Improved KS survival was noted with increased education, a trend which has been described in other cancers and attributed to a variety of causes, including stronger psychosocial support and earlier stage at diagnosis.²⁵ Treatment at an academic center also was associated with improved survival, which has been demonstrated by similar work across malignancies. It is hypothesized that access to specialized therapies and enhanced care logistics at academic medical centers could be contributors to improved survival.^{26,27}

There are several limitations to this study. The NCDB is limited to Commission on Cancer accredited facilities, which covers approximately 75% of new cancer cases in the U.S. Thus, a significant fraction of hospitals may be underrepresented in this data due to selection bias, particularly against rural critical access hospitals. Moreover, the NCDB is a hospital-based registry, so generalizability on a population-level is more limited. Racial and ethnic identity in the NCDB is self-identified and are provided as broad categories, therefore racial and ethnic identities may not be fully described by the available categories. While powerful due to its size, the NCDB also has limited information on treatment and disease-specific features and/or outcomes.

CONCLUSION

In summary, our study reveals the impact of key socioeconomic and demographic factors on survival in KS in the NCDB. Notably, we highlight significant racial disparities with poor survival outcomes in Black patients with KS, which persisted even after controlling for socioeconomic features and other surrogate markers of healthcare access in a 1:1 propensity-score matched model. While we propose unaccounted comorbidities and decreased access to HAART in the setting of discrimination and systemic racism as potential reasons for these survival disparities, more study is warranted to investigate why Black patients in KS continue to have poorer outcomes. Other socioeconomic and URM groups were also noted to have disparate insurance status, highlighting the importance of healthcare access in this population. We call for greater efforts in promoting access to healthcare and providing equitable medical care to all KS patients.

Acknowledgements: We would like to thank the National Cancer Database.

Conflict of Interest Disclosures: None

Funding: None

Corresponding Author:

Michelle S Swedek
Creighton University School of Medicine, 2500
California Plaza, Omaha, NE 68178-0408
(402) 280-2700
Email: MichelleSwedek@creighton.edu

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